SNOHOMISH COUNTY COUNCIL PUBLIC HEARING PACKET

ORDINANCE 21-100 RELATED TO THE CODE OF ETHICS; AMENDING SNOHOMISH COUNTY CODE 2.50.110

ECAF: 2021-1008

Date/Time: Wednesday, January 12, 2022, at 10:30 a.m.

- Staff Person: Nicole Gorle
- DPA: George Marsh

EXHIBIT LIST

Click on Exhibit # to view document.

Exhibit #	Date	Exhibit Description
1	12/09/21	Council Staff Report
2	12/02/21	ECAF
3	12/02/21	Ordinance Introduction Slip

1 2 3	SNOHOMISH COUNTY COUNCIL Snohomish County, Washington
4	ORDINANCE NO. 21-100
5 6 7	RELATED TO THE CODE OF ETHICS; AMENDING SNOHOMISH COUNTY CODE 2.50.110
8 9	BE IT ORDAINED:
10 11 12	Section 1. Snohomish County Code Section 2.50.110, last amended by Amended Ordinance No. 13-043, on June 19, 2013, is amended to read:
13 14 15	2.50.110 Complaint procedures.
15 16 17 18	(1) Any natural person who believes a person subject to the code of ethics has committed a violation of the code may file a complaint with the ethics commission. Complaints shall be subject to the following requirements:
19 20 21 22	(a) The complaint must be based upon facts within the personal knowledge of the complainant;
22 23 24 25	(b) The complaint must be submitted in writing and signed under oath by the complainant;
26 27 28 29 30	(c) The complaint must include a detailed factual description of the alleged violation including the date, time and place of each occurrence and the name of the person or persons who are alleged to have committed a violation ((. The complaint must also refer to the specific provisions of the code of ethics which are alleged to have been violated));
31 32 33 34	(d) The complaint must be accompanied by all available documentation or other evidence known to the complainant to support the allegations of the complaint;
35 36 37	(e) The complaint must be filed within five years of the date of the occurrence or occurrences alleged to constitute a violation of the code of ethics((-)); and
37 38 39 40	(f) The complaint must refer to the specific provisions of the code of ethics which are alleged to have been violated.
40 41 42 43 44	(2) Complaints shall be filed with the clerk of the ethics commission. Except for complaints alleging a violation by a member of the county council, a candidate for a county council position, or a councilmember-elect, the clerk shall process the complaint as provided in the following subsections of this section. The clerk shall immediately
	ORDINANCE NO. 21-100

RELATING TO THE CODE OF ETHICS; AMENDING SCC 2.50.110

forward complaints alleging a violation by a member of the county council, a candidate 1 2 for a county council position or a councilmember-elect to the administrator of the office 3 of hearings administration who shall designate an employee of that office to serve as ex 4 officio clerk of the ethics commission for the processing of such complaints as provided 5 in the following subsections of this section. 6 7 (3) The clerk shall forward the complaint and any accompanying documentation and 8 evidence forthwith to the chairperson of the ethics commission. The chairperson shall 9 review the complaint for compliance with the requirements of subsection (1) of this 10 section. ((Should the chairperson find that)) If the chairperson determines that the complaint is noncompliant with subsection (1) of this section, the chairperson shall, 11 within five working days of the filing of the complaint, enter a written order stating the 12 chairperson's findings pursuant to subsection (3)(a) or (3)(b) below. 13 14 15 (a) ((The complaint is untimely)) The chairperson shall dismiss the complaint should the chairperson find that the complaint: 16 17 18 (i) is untimely; or 19 20 (ii) does not, on its face, state facts which, if proven to be true, constitute a violation of the code of ethics referred to in the complaint; or 21 22 23 (iii) is not based upon facts within the personal knowledge of the complainant. 24 (b) ((The complaint has not been filed under oath; or)) The chairperson shall find 25 26 the complaint deficient if the complaint: 27 28 (i) has not been signed under oath; or 29 30 (ii) fails to refer to a specific provision of the code of ethics which is alleged to have been violated, or 31 32 33 (iii) fails to include a detailed factual description of the alleged violation including 34 the date, time and place of each occurrence and the name of the person or persons who are alleged to have committed a violation, or 35 36 37 (iv) is not accompanied by all available documentation or other evidence known to the complainant to support the allegations of the complaint. 38 39 40 (((c) The complaint does not, on its face, state facts which, if proven to be true, constitute a violation of the code of ethics referred to in the complaint; or)) 41 42 43 If the chairperson finds that the complaint is deficient under this subsection (b), the 44 chairperson's order shall notify the complainant that unless a corrected complaint is ORDINANCE NO. 21-100

RELATING TO THE CODE OF ETHICS; AMENDING SCC 2.50.110

- filed within five days of the issuance of such order, the complaint shall be
 dismissed.
- $\frac{2}{3}$

4 (((d) The complaint fails to refer to a specific provision of the code of ethics which
 5 is alleged to have been violated, the chairperson shall, within five working days of
 6 the filing of the complaint, enter a written order stating the chairperson's findings
 7 and, except as hereinafter provided, dismissing the complaint. If the chairperson

- 8 finds that the complaint is deficient pursuant to findings (3)(b) or (d) of this section,
- 9 the chairperson shall issue an order notifying the complainant that unless a
- corrected complaint is filed within five days of the issuance of such order, the
 complaint shall be dismissed.))
- 12 (c) The complainant may appeal the dismissal of a complaint under this 13 subsection by filing an action in the Snohomish County superior court for a writ of 14 certiorari pursuant to chapter 7.16 RCW within 10 days of the date of issuance of 15 the order dismissing the complaint.
- 16

(4) For complaints which are not dismissed under subsection (3) of this section, the chairperson shall direct the clerk to serve a complete copy of the complaint and any accompanying documentation and evidence to the person (hereinafter referred to as the respondent) alleged to have committed a violation of the code of ethics. The clerk shall serve a copy of the complaint and accompanying information upon the respondent by certified mail or by personal service not later than seven working days following the filing of the complaint.

24

25 (5) The respondent shall, within 20 days of the date of mailing or personal service of 26 the complaint by the clerk of the commission, file with the clerk any response to the complaint the respondent wishes to make. A response to a complaint shall be made in 27 28 writing signed under oath by the respondent. A response may include a detailed 29 statement of facts pertaining to the complaint made on personal knowledge of the respondent and may include any matter constituting a defense to the complaint. A 30 response should be accompanied by all available documentation or other evidence 31 32 known to the respondent which the respondent wishes the commission to consider. The respondent may stipulate to some or all of the facts alleged in the complaint and shall 33 34 either admit or deny the alleged violation. If the violation is admitted, the respondent 35 may also submit an explanatory statement and may request a particular disposition. 36 37 (6) Upon receipt of a response to a complaint, the chairperson shall review the 38 complaint and response, together with all supporting documentation and evidence

39 submitted by the complainant and the respondent. Within 10 days of receipt of the40 response (or, if no timely response is submitted, within 30 days of the date of mailing

- 41 the complaint to the respondent by the clerk of the commission), the chairperson shall
- 42 issue a preliminary decision in writing. A preliminary decision shall include one of the
- 43 following determinations:
- 44

ORDINANCE NO. 21-100 RELATING TO THE CODE OF ETHICS; AMENDING SCC 2.50.110

- 1 (a) Determine that a hearing is necessary in order to obtain a clear determination 2 of the facts relevant to the complaint; or
 - (b) Determine that based upon the complaint; of
 - (b) Determine that, based upon the complaint and response, the facts relevant to the complaint are clearly established and no hearing is necessary.
- 4 5

3

6 (7) When a preliminary decision is issued pursuant to subsection (6)(b) of this section, 7 it shall contain findings and conclusions and a disposition of the complaint. If the 8 chairperson concludes a violation of the code of ethics was committed, the preliminary 9 decision shall include a penalty as provided for in SCC 2.50.160. Copies of the 10 preliminary decisions shall be served forthwith on all members of the commission by the clerk. Until it becomes a final decision, a preliminary decision issued pursuant to 11 subsection (6)(b) of this section shall be confidential and shall not be released to either 12 13 the complainant, the respondent, or any other person with the exception of commission 14 members and commission staff. Commission members may either concur in the preliminary decision or request a hearing. A hearing on the complaint before the full 15 16 commission shall be scheduled by the clerk if a request for hearing is filed with the clerk by one or more commission members within 10 days of the issuance of the preliminary 17 18 decision, PROVIDED: that commission members shall have 15 days from the date of issuance of the preliminary decision to request a hearing if the chairperson so provides 19 20 in the preliminary decision. If a commission member does not file a timely request for hearing, it shall be conclusively presumed that the commission member concurs in the 21 22 preliminary decision.

23

24 (8) If no timely request for hearing is made by any commission member, a preliminary 25 decision issued pursuant to subsection (6)(b) of this section shall become a final 26 decision of the commission and shall be signed and dated by the commission chairperson within two working days following the expiration of the review period 27 28 provided in subsection (7) of this section. The clerk shall immediately notify the 29 complainant and the respondent of the final decision and shall deliver a copy of the final decision to each of them and to any other person who has submitted a written request 30 therefore. Either the complainant or the respondent may, within 30 days of the date of 31 32 the final decision, appeal it to the Snohomish County superior court by writ of certiorari 33 pursuant to chapter 7.16 RCW.

34

35 (9) When a decision to hold a hearing is issued pursuant to subsection (6)(a) of this section or when a request for hearing is filed by a commission member pursuant to 36 subsection (7) of this section, the clerk shall schedule a hearing not more than 30 days 37 38 from the date of the preliminary decision and shall mail written notice of the hearing to 39 the complainant, the respondent, each member of the commission and to any other person who has submitted a written request therefore. In addition, notice shall be 40 41 provided by publication in the official county newspaper not less than five days prior to 42 the date of the hearing.

43

ORDINANCE NO. 21-100 RELATING TO THE CODE OF ETHICS; AMENDING SCC 2.50.110 (10) All hearings on complaints before the ethics commission shall be de novo and a
preliminary decision issued pursuant to subsection (6)(b) of this section, if any, shall
have no force or effect and shall remain confidential. The parties may appear in person
or through attorneys. Hearings shall be conducted in accordance with the following
provisions:

(a) The complainant shall have the burden to prove by a preponderance of evidence that the violation or violations alleged in the complaint occurred.

(b) Not less than two days prior to the hearing date, the complainant and
respondent shall each file with the clerk and serve upon the other party, a list of
witnesses they wish to call at the hearing. Only those witnesses whose names
appear on the witness lists may testify at the hearing. In exceptional circumstances
and for good cause shown, the commission chairperson may, in his or her
discretion, permit additional witnesses to testify.

16

6 7

8

9

(c) At the commencement of the hearing, the commission chairperson shall ask
the parties to provide an estimate of the time required to present their evidence and
arguments. The chairperson shall then issue an order establishing a reasonable
limit on the time for each party to present his or her case which shall be equal for
each party. The complainant may divide his or her allotted time between an opening
presentation and rebuttal of the respondent's case. Each party may present
opening and closing arguments.

(d) All testimony shall be given under oath administered by the clerk of the
 commission. Subject to control by the chairperson, each party shall be permitted to
 cross-examine the witnesses of the other party.

(e) The clerk shall electronically record all proceedings and shall assign exhibit numbers to, and become the custodian of, all documentary evidence.

(f) The chairperson shall have full authority to regulate the conduct of the hearing
 and may take any actions reasonably necessary to maintain an orderly proceeding.
 The chairperson may continue a hearing to a date and time certain should the
 chairperson determine that such continuance is necessary.

36

28 29

30 31

(11) At the conclusion of a hearing on a complaint, the commission shall deliberate
 and enter its oral decision which shall include findings and conclusions in support of the
 decision. The chairperson shall direct commission staff to prepare a draft written

- 40 decision reflecting the commission's oral decision and shall continue the hearing to a
- 41 date and time certain for commission consideration and approval of the written decision.
- 42 The final written decision shall be signed and dated by the commission chairperson.
- The clerk shall deliver a copy of the final written decision to each party and to any other person who has submitted a written request therefore.

ORDINANCE NO. 21-100 RELATING TO THE CODE OF ETHICS; AMENDING SCC 2.50.110

PASSED this day	of, 2021.
	SNOHOMISH COUNTY COUNCIL Snohomish County, Washington
	Chairperson
ATTEST:	
Clerk of the Council	
() APPROVED	
() EMERGENCY	
() VETOED	DATE:
	County Executive
ATTEST:	
Approved as to form only:	
<u>/s/ George B. Marsh</u> Deputy Prosecuting Attorney	

SNOHOMISH COUNTY COUNCIL



Snohomish County Council

EXHIBIT # _____1

FILE ORD 21-100

Committee:Conservation, Sustainability, and RecreationAnalyst:Nicole GorleECAF:2021-1008Date:Dec. 9, 2021

Consideration:

Amend SCC 2.50.110 to align the complain procedures with what actually takes place.

Background:

The Snohomish County Code of Ethics was established in 1991 through Ordinance 91-094. Within the Code of Ethics, an Ethics Commission was established for the purpose of receiving complains of ethics violations and administering the Code of Ethics as established in Chapter 2.50 Snohomish County Code. The commission is comprised of five members appointed by the Executive and confirmed by Council.¹

While processing an ethics complaint, the current commission chair proposed changes to code in order to better clarify the process an ethics complaint follows.

Current Proposal:

The Ordinance would restructure existing complaint procedures outlined in code to reflect the actual order/process that is followed.

No new requirements/processes are added and no existing requirements/processes are removed, this simply reorganizes existing procedures.

2021 Budget: n/a

Future Budget Impact: n/a

Handling: Normal – 1/31/22

Approved-as-to-form: Yes

Risk Management: n/a

Executive Recommendation: n/a

Attachment: None

Amendments: None

<u>Request:</u> Move to GLS on December 15th to set time and date for a public hearing on January 12, at 10:30 a.m.

¹ <u>https://snohomish.county.codes/SCC/2.50</u>



SNOHOMISH COUNTY COUNCIL

Legislation Text

EXHIBIT # 2

FILE ORD 21-100

File #: 2021-1008, Version: 1

Executive/Council Action Form (ECAF)

ITEM TITLE:

Ordinance 21-100, relating to the code of ethics; amending Snohomish County Code 2.50.110

DEPARTMENT: Council

ORIGINATOR: Heidi Beazizo

EXECUTIVE RECOMMENDATION: N/A

PURPOSE: Update the language to better clarify the ethics complaint procedure

BACKGROUND: While processing an Ethics Complaint, the current commission chair proposed changes to the code to better clarify the process by which the complaint is completed, considered, and then acted on (or dismissed). There are no substantive changes to the way the code is enacted - these are code structure changes only.

FISCAL IMPLICATIONS:

EXPEND: FUND, AGY, ORG, ACTY, OBJ, AU	CURRENT YR	2ND YR	1ST 6 YRS
	0	0	0
TOTAL	0	0	0

REVENUE: FUND, AGY, ORG, REV, SOURCE	CURRENT YR	2ND YR	1ST 6 YRS
	0	0	0
TOTAL	0	00	

DEPARTMENT FISCAL IMPACT NOTES: No Fiscal Impacts

CONTRACT INFORMATION:

ORIGINAL	CONTRACT#	AMOUNT	
AMENDMENT	CONTRACT#	AMOUNT	

File #: 2021-1008, Version: 1		
Contract Period		

ORIGINAL	START	END	
AMENDMENT	START	END	

OTHER DEPARTMENTAL REVIEW/COMMENTS: Click or tap here to enter text.

ECAF NO.: ECAF RECEIVED:

	ORDINANCE INTRODUCTION SU			
	INTRODUCTION SLIP		#3	
TO: Clerk of the Council		FILE	ORD 21-100	
TITLE OF PROPOSED ORDIN	NANCE:			
~~~~~~~~~~~~~~~~~~	<i>Gaphanie Wright</i> Councilmember	.~~~~~	~~~~~	
	Councilmember		Date	
Clerk's Action:	Proposed Ordina	ance No.		
Assigned to:		Date:		
~~~~~~~~~~~~	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		.~~~~~~~~~	
STANDING	COMMITTEE RECOMMENI	DATIO	N FORM	
On, 1	the Committee considered the item	and by _	Consensus /	
	made the following recommendation			
Move to Council to s	chedule public hearing			
Public Hearing Da	ateat			
Move to Council as a	mended to schedule public hearing			
Move to Council with	h no recommendation			
This itemshould/	_should not be placed on the C or routine items that do not require pub		•	
		• • •		

This item _____should/____should not be placed on the Administrative Matters Agenda (Administrative Matters agenda may be used for routine action to set time and date for public hearings)

Committee Chair